

Lincoln Public Schools Elective 403(b) Retirement Plan

Section 403(b) Exchange Request Form

Instructions: Use this form if you are transferring to Union Bank & Trust Company (“Union Bank”), Custodian of the Lincoln Public Schools Elective 403(b) Retirement Plan (the “Plan”), all or a portion of the 403(b) assets held by a financial institution to whom Lincoln Public Schools (the “Employer”) forwarded contributions on your behalf. If you are separating from service with the Employer, and you want to roll over your Plan benefits to your new employer’s plan, you should not use this Form.

1. Employee Information

Name of Employee _____
Last First MI

Address _____

City _____ State _____ Zip Code _____

Social Security Number _____ Telephone Number _____

2. Current Annuity Contract Information (Transferring Account). Complete this Section 2 to provide information about the financial institution that will be transferring your assets to Union Bank pursuant to this Section 403(b) Exchange Form.

Name of Institution Account Number

Address _____

City _____ State _____ Zip Code _____

Telephone Number _____ Contact Name _____

3. Assets You are Transferring to Union Bank. Complete the following information to indicate the assets you are transferring to Union Bank from the financial institution set forth in Section 2.

I want to transfer:

- All of the assets held in my account at the financial institution set forth in Section 2.
- \$ _____ of the assets held in my account at the financial institution set forth in Section 2.

Note: Please attach a copy of your most recent statement for the account described in Section 2.

4. Investment of Assets being Transferred to Union Bank. Unless you file new investment instructions with the Plan, the assets being transferred to Union Bank pursuant to this Section 403(b) Exchange Form will be invested pursuant to the investment instructions currently on file with the Plan in connection with your Plan benefits. If you do not have any such investment directions currently on file with the Plan, the assets being transferred to Union Bank pursuant to this Section 403(b) Exchange Form will be invested in the Vanguard Target Retirement Fund that most closely corresponds with the date you will attain normal retirement age under the Plan.

