OPENING YOUR NEW BUSINESS ACCOUNT

We’re happy that you’ve chosen UBT for your new business account. Whether this is your first business account for your up and coming start-up or a new business line for your corporation, we have a suite of products and services that can be customized to fit your needs.

Please bring the following items with you to expedite the opening of your new account.

- **Business Tax Identification Number (TIN) or Employer Identification Number (EIN)**
- **Trade Name**
  If your business operates under a different name than your legal business name, please bring the documentation you have filed with the Secretary of State’s Office.
- **Business Formation Documents**
  Articles of Incorporation, Articles or Certification of Organization, Articles or Certification of Partnership, etc.
- **Authorized Individuals**
  Account signers, Guarantors, or other authorized individuals must provide their name, position, social security number, personal address, date of birth, and government issued ID.
- **Certification of Beneficial Owners Form**
  Federal regulation requires financial institutions to obtain, verify, and record information about the beneficial owners of certain businesses (e.g. corporations, limited liability companies, partnerships, and any similar business entity formed in the United States). If applicable, please complete the Certification of Beneficial Owners Form on reverse.
CERTIFICATION OF BENEFICIAL OWNER(S)

Name of Legal Entity for which the account is being opened: ____________________________________________

General Information
Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. A legal entity includes a corporation, limited liability company, partnership, and any similar business entity formed in the United States. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

Part A: Controlling Individual Information
The following information for one individual with significant responsibility for managing the legal entity listed above, for example:
- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

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<th>Name (First &amp; Last):</th>
<th>Date of Birth:</th>
<th>Physical Address (Home):</th>
<th>Social Security #</th>
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Part B: Beneficial Owner Information
The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

[ ] Beneficial Owner Not Applicable (No individual meets this definition)

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<th>Social Security #</th>
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Part C: Certification
Certification: I, __________________________________________ (name of person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct. I also agree to notify Union Bank of any change in such information while the account(s) are active.

Signature: __________________________________________ Date: ____________________________